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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/427,509	10/26/1999	GEORGE A TE	F19-99-130	3297

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EXAMINER

ZAND, KAMBIZ

ART UNIT	PAPER NUMBER
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2132

DATE MAILED: 01/29/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/427,509

Applicant(s)

TE ET AL.

Examiner

Kambiz Zand

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 12 December 2003.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-37 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-37 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 12 December 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. §§ 119 and 120

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.
- 13) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.
a) ☐ The translation of the foreign language provisional application has been received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____. 6) ☐ Other: _____

DETAILED ACTION

1. The text of those sections of Title 35, U.S. Code not included in this section can be found in the prior office action.
2. The prior office actions are incorporated herein by reference. In particular, the observations with respect to claim language, and response to previously presented arguments.
3. Claims 1, 17 and 28 have been amended.
4. Claims 1-37 are pending.
5. Examiner withdraws objection to the drawings and specification due to correction by the applicant.
6. Examiner withdraws rejection of the claims under 35 U.S.C 112-second paragraphs (paper number 4) due to Applicant's clarification and statement on page 13 and 14 of the response (see paper number 6).

Response to Arguments

Applicant's arguments filed on 12/12/2003 (paper number 6) with respect to claim 1-37 have been considered but are moot in view of the new ground(s) of rejection.

As per applicant's arguments with respect to claims 1, 17 and 28 that Ahlberg et al fails disclosing list of resources or users (page 15 of the response, paper number 6), Examiner refers Applicant to fig.7 where there are user profiles that contains

applications that is considered as resources that the user can access based on as an example user's security profiles as stated in col.12, lines 49-50. Therefore the database includes user lists and their security profiles or their billing information or user's specific pick list (see col.12, lines 46-54). Ahlberg et al also disclose editing and adding new users to the list (see col.16, lines 33-35 where by modifying various options available to the user the editing is being done. Col.15, lines 54-64 where Ahlberg disclose access type for each application, a list of applications or resources, get user list that is corresponds to grouping of the specific user pick list and user id's that corresponds to the application's access); and

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., "use or function of the profile to group particular resources" on the last line of page 15 and the first line of the page 16 of the response in paper number 6; and "user authentication can be performed at the server level for a plurality of resources in a single authentication operation for each user" on page 15 of the response, paper number 6) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

- As per Applicant's arguments with respect to Hayes. Jr., Examiner refers Applicant to the following remarks:

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., "grouping of resources and associating users with resources by groups through the use of one or more profiles" in paragraph two of page 16 of the response, paper number 6) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

Hayes, Jr. disclose listing users (see fig.16 where by clicking on the users, the list of the users is disclosed; fig.24 where the list of users is more specifically disclosed such as charlies, barts, bozo, etc..), resources (see fig.24, item 2420 where the resources in the data base is listed); or profiles (see fig.24 where profile management disclose different profiles for applets, user groups and users) and/ or editing of any such list (see col.7, lines 28-64 where the editor on line 52 does the editing and where administrator has the ability to modify and therefore edit the data accordingly as disclosed in line 28-64).

- Examiner agrees with Applicant's arguments with respect to Dauerer's (5,627,967A), Broomhall et al. (6,292,904 B1) and Jacobs et al (5,694,595 A) references and therefore the rejection of the claims 1-37 based on the above references have been withdrawn.

Claim Rejections - 35 USC § 102

7. **Claims 1-37** are rejected under 35 U.S.C. 102(e) as being anticipated by Ahlberg et al (6,587,836 B1).

As per claims 1, 17-18 and 28 Ahlberg et al (6,587,836 B1) teach a computer readable medium, a system and a method of operating a data processing system to control user access to a plurality of resources (see fig.7 and 11; col.12, lines 46-63), said method including the steps of editing a list of resources (see col.4, lines 14-23), editing a list of profiles, each said profile containing a designation of at least one of said resources (see col.4, lines 14-23), and editing a list of users to add or delete a profile containing said designation of said at least one said resources for a user, said list of users including, for each listed user (see fig.20; col.16, lines 21-65), a userID by which the user can be authenticated for one or more profiles (see col.13, lines 1-11 and 67; col.14, lines 1-2). Also see entire Ahlberg et al's patent 6,587,836.

As per claims 2 and 29 Ahlberg et al (6,587,836 B1) teach a computer readable medium, a system and a method as recited in claims 1 and 28, wherein all of said editing steps are performed under supervision of an administrator to the exclusion of users (see col.16, lines 21-32).

As per claims 3, 20 and 30 Ahlberg et al (6,587,836 B1) teach a computer readable medium, a system and a method as recited in claims 1, 17 and 28, including the further step of authorizing access of each user to selected resources included in said resource list in accordance with contents of profiles in said list of profiles which are associated with each user in said list of users (see col.13, lines 53-67 and col.14, lines 1-20).

As per claims 4, 10, 19 and 31 Ahlberg et al (6,587,836 B1) teach a computer readable medium, a system and a method as recited in claims 3, 9, 18 and 30, wherein said authorizing step is performed upon detection of a said editing step (see col.15, lines 18-24).

As per claims 5, 11 and 32 Ahlberg et al (6,587,836 B1) teach a computer readable medium, a system and a method as recited in claims 4, 10 and 31, wherein said detection is performed by comparison of said lists of users, profiles and resources with prior lists of users, profiles and resources (see col.15, lines 18-32 by refreshing the new information is listed in comparison with the previous list).

As per claims 6-8, 12-14, 21-23, 33 and 35 Ahlberg et al (6,587,836 B1) teach a computer readable medium, a system and a method as recited in claims 1, 9-11, 17 and 28 including the further steps of authenticating a user in accordance with said userID, and providing access to a resource, authorized by said authorizing step,

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which is selected by said user authenticated in said authenticating step (see col.13, line 67 and col.14, lines 1-21).

As per claim 9 Ahlberg et al (6,587,836 B1) teach a computer readable medium, a system and a method as recited in claim 2, including the further step of authorizing access of each user to selected resources included in said resource list in accordance with contents of profiles in said list of profiles which are associated with each user in said list of users (see col.13, lines 53-67 and col.14, lines 1-20).

As per claims 15, 26 and 36 Ahlberg et al (6,587,836 B1) teach a computer readable medium, a system and a method as recited in claims 1, 17 and 28, including the further step of deleting a resource from all profiles specifying a resource to be deleted from said resource list during said step of editing said list of resources (see col.16, lines 4-6).

As per claims 16, 27 and 37 Ahlberg et al (6,587,836 B1) teach a computer readable medium, a system and a method as recited in claims 1, 17 and 28, including the further step of deleting a profile from all users in said list of users for which a profile to be deleted from said profile list is specified during said step of editing said list of profiles (see col.16, lines 4-6).

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As per claim 24 Ahlberg et al (6,587,836 B1) teach a system as recited in claim 23, wherein said means for directing is responsive to location or path data contained in said list of resources (see fig.7).

As per claim 25 Ahlberg et al (6,587,836 B1) teach a system as recited in claim 24, wherein said path or address data is a URL (see fig.4).

8. **Claims 1-37** are rejected under 35 U.S.C. 102(e) as being anticipated by Hayes, Jr. (6,237,092 B1).

As per claims 1-37 Hayes, Jr. (6,237,092 B1) teach all limitations of the claim including editing lists of resources, profiles and user's information; authenticating users based on user's identification numbers; comparing the edited list with previous list; authorizing access to the users based on their profile and user's information; administrator's interference to delete or add information into the list that contains said resources and directing user to a resource or location of a resource based on authentication and authorization of the user for one or more profiles and using the URL (see abstract; fig.1-4, 6-11 and 17; col.6-21 and col.22, lines 1-37). Also see entire Hayes, Jr.'s above patent for more detailed disclosure.


Conclusion

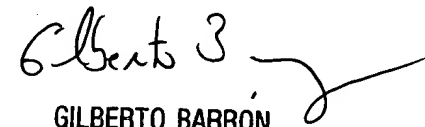
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9. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kambiz Zand whose telephone number is (703) 306-4169. The examiner can normally be reached on Monday-Thursday (8:00-5:00). If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on (703) 305-1830. The fax phone numbers for the organization where this application or proceeding is assigned is as follows: Official (703) 872-9306

Kambiz Zand

01/13/04


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